

IN THE SUPREME COURT OF OHIO

Fry -vs- Ferguson, et al.

Case No. 72-102, Complaint in Mandamus

Filed Februray 10, 1972

Fry -vs- Ferguson, et al.

Case No. 72-102, Answer

Filed February 23, 1972

State ex rel Boehm -vs- Leggett, et al.

Case No. 72-194, Complaint in Mandamus

Filed March 10, 1972

State ex rel Boehm -vs- Leggett, et al.

Case No. 72-194, Answer

Filed April 10, 1972

State ex rel Boehm -vs- Leggett, et al.

Stipulation of Consolidation

Filed February 25, 1973

Oral Argument, May 9, 1973

Decision of the Supreme Court of Ohio,

dated June 20, 1973

(see Appendix E of Agreed Stipulation of Facts,
page 42)

IN THE UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF OHIO
EASTERN DIVISION

United States of America -vs- The State of Ohio, et al.

Case No. 73-240

Motion for an Injunction Pending Final Disposition
of the Certification by the Temporary Emergency
Court of Appeals, Mailed to us on July 9, 1973

Order and Judgment on Motion for Injunction,
July 9, 1973

Order certifying case to the Temporary Emergency
Court of Appeals of the United States Filed in
U.S. District Court on June 29, 1973, Filed with
TECA on July 3, 1973.

**IN THE TEMPORARY EMERGENCY COURT
OF APPEALS OF THE UNITED STATES**

Motion for an Injunction Pending Final Disposition
of Certification Mailed to us on July 10, 1973

Order granting injunctive relief dated July 10, 1973

Motion for additional time for oral argument, filed
September 16, 1973

Oral argument in Washington, D.C.
September 18, 1973

Judgment, entered October 25, 1973

IN THE SUPREME COURT OF THE UNITED STATES

Petition for Writ of Certiorari to the Temporary
Emergency Court of Appeals filed November 24,
1973

Certiorari Granted, February 19, 1974

**IN THE TEMPORARY EMERGENCY
COURT OF APPEALS OF
THE UNITED STATES**

Docket No. 6-2

UNITED STATES OF AMERICA,
Plaintiff,

v.

THE STATE OF OHIO, ET AL.,
Defendants.

**PLAINTIFF'S AND DEFENDANTS' AGREED
STIPULATION OF FACTS**

1. On January 15, 1972, the Ohio General Assembly passed Amended Substitute Senate Bill 147 (hereinafter referred to as Bill 147) which provided for wage and salary adjustments, reclassifications and step increases amounting to an average increase of 10.6 per cent for all employees affected by the bill.
2. Bill 147 provided that 10.6 per cent wage and salary increase would go into effect beginning with the pay period that included January 1, 1972.
3. At the time of its passage, Bill 147 affected approximately 65,000 employees of the State of Ohio, the State Universities and the County Welfare Departments.
4. A percentage breakdown of the State employees affected by Bill 147 is as follows:

Job Category	% of Total Employees
Officials and Managers	5%
Professional	23%
Para-Professional	8%
Technical	3%
Auxiliary Aide	20%
Office Clerical	17%
Skilled	2%
Semi-Skilled	6%
Laborer	6%
Service	5%

Attached hereto as Appendix A is a description of the individual job classifications included within each of the above mentioned job categories and the number of personnel, with certain exceptions, in each major state agency as of the time Bill 147 was enacted into law.

5. On February 10, 1972, the case of *State of Ohio, ex rel. Ervin v. Gilligan*, Case No. 72AP47, was filed in the Tenth District Court of Appeals, Franklin County, Ohio (hereinafter referred to as Court of Appeals) seeking a writ of mandamus requiring the State officials of Ohio to pay the wage and salary increases as provided for in Amended Substitute Senate Bill 147.

6. The United States filed a motion to intervene in *Ervin* which was granted without objection from the parties.

7. Between February 10 and March 22, 1972, the cases of *Fry v. Ferguson*, *State ex rel. Boehm v. Legatt* and *State ex rel. Kaiser v. Ferguson* were filed in the Supreme Court of Ohio each seeking a writ of mandamus requiring the State officials of Ohio to pay the wage and salary increases called for in Bill 147. The United States was denied the right to intervene in these cases but was granted the right to file in an *amicus curiae* brief.

8. The State of Ohio filed an application with the Pay Board asking permission to pay the wage and salary increases provided for in Bill 147 and on February 24, 1972 a public hearing on the application was held by the Pay Board.

9. The State of Ohio presented further oral and written factual statements at a Pay Board meeting held on March 2, 1972.

10. On March 6, 1972, the Pay Board by resolution acted on the application of the State of Ohio and issued its Decision and Order. (See Appendix B)

11. On March 24, 1972, the State of Ohio filed a request for reconsideration of the Pay Board's decision and order. The Pay Board denied this request on May 2, 1972.

12. On May 16, 1972 the Court of Appeals, in the *Ervin* case held a formal hearing in which the parties stipulated to all facts and in which the parties agreed to attempt to negotiate a settlement of that case.

13. On November 3, 1972, pursuant to the agreement of May 16, 1972, the state officials, defendants-respondents, agreed to file a request with the Pay Board asking that the remaining amount of the wage and salary increases called for in Bill 147 be paid to the affected state employees. These increases amounted to approximately 1.5 per cent pay increase for the members of the employee group affected. The request was filed with the Pay Board on November 10, 1972 and denied on December 19, 1972. (See letters attached as Appendix C)

14. On January 9, 1973, the State of Ohio requested a reconsideration of the Pay Board's decisions and on March 22, 1973 this request was denied.

15. On April 17, 1973, the United States filed a petition for removal of the *Ervin* case to the District Court of the

United States for the Southern District of Ohio, Eastern Division.

16. On May 29, 1973, the Court of Appeals, issued a decision in the *Ervin* case holding that the defendant State was required to make the lump sum payment to the plaintiff employees of the wage and salaries authorized by Bill 147 which had not been paid. (See Appendix D) This decision was not journalized.

17. On June 7, 1973, the United States filed for reconsideration and statement of special appearance in the Court of Appeals.

18. On June 11, 1973, the United States filed a motion for temporary restraining order in the United States District Court for the Southern District of Ohio seeking an order precluding the State officials from paying the entire appropriated money as called for in Bill 147 pursuant to the announced decision of the Court of Appeals.

19. On June 14, 1973, the District Court of the United States for the Southern District of Ohio, Eastern Division, ordered that the *Ervin* case be remanded in the Court of Appeals.

20. On June 12, 1973, the Court of Appeals denied the United States' motion for reconsideration and statement of special appearance.

21. On June 20, 1973, the Supreme Court of Ohio in the consolidated cases of *Fry v. Ferguson*, *State ex rel. Boehm v. Legatt*, and *State ex rel. Kaiser v. Ferguson* announced that the State officials of Ohio must pay the entire wage and salary increases as called for in Bill 147. (See Appendix E)

22. On July 2, 1973, the Court of Appeals journalized its order in the *Ervin* case and stayed the order pending appeal to the Supreme Court of Ohio.

23. The wage and salary increases that are the subject of this lawsuit are the increases that were not paid, because of the Pay Board's decision, to employees for work performed between the pay period that included January 1, 1972 and March of 1972.

24. The State employee affected by Amended Substitute Senate Bill 147 have been receiving the total wage and salary increases under Bill 147 pursuant to the Pay Board order of March 10, 1972, since March of 1972.

25. The affected employees have received no additional general wage and salary increases in any form since the enactment into law of Amended Substitute Senate Bill 147.

26. The monies representing the state employees' wage and salary increases in question, approximately \$10.5 million, have been appropriated and encumbered by order of the State Controlling Board of Ohio pending payment. This money does not include the approximately five million dollars in wage and salary increases that had not been paid to university and county welfare department employees.

Respectfully submitted,

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Plant Maintenance Engineers
Policemen 1-3
Police Sergeants
Radio Technicians 1-4
Teacher 1
Vocational Instructor 1-3
X-Ray Technician 2

TECHNICIANS — Occupations requiring a combination of basic scientific knowledge and manual skill which ordinarily can be obtained through two years or more of post high school education, such as is offered in many technical institutes and junior colleges, or through equivalent training (usually formal). Includes:

Medical

Dental

Registered X-Ray
Agriculture
Air Conditioning and Refrigeration Technicians
Artists 1-2
Aviation Specialist 1
Barber Inspector 1-2
Cosmetology Inspector
Boiler Inspector
Building Inspector 1-2
EDP Technicians
EDP Computer Operator 3
EDP Specialist 1-2
EEG Technicians
EEG Specialists
Elevator Inspectors
Employment Security Technician 1-2
Field Inspectors 1-2
Identification Technicians 1-4
LPN's
Police Officers 1-3
Rehabilitation Aides 2-3
Kindred Workers

AUXILIARY AND AIDE — Includes employees in supportive type positions which are generally established to enable the professional and technical staff to concentrate on more demanding job responsibilities. Many of these positions will fall within an identified pattern of staff development. Includes:

Activities Therapist Aides
Agriculture Aide 1-2
Agriculture Inspector 1
Attendants
Community Service Worker
Correction Officer
Correction Corporal
Dental Assistant

Drivers License Examiner 1-2
EDP Computer Operator 1
Engineering Aide 1-2
Investigator 1
Laboratory Assistant
Nursing Aide 1-2
Personnel Aide
Planning Survey Technician 1-2
Psychiatric Aide Supervisor 1-3
Psychiatric Aide 1-2
Psychiatric Criminal Attendant 1-4
Right of Way Agent 1
Teacher Aide 1-3
Youth Leader 1-4
Kindred Workers

OFFICE AND CLERICAL — Includes all clerical work regardless of level difficulty where the activities are predominantly non-manual though some tasks may be involved. Includes:

Typists
Stenographers
Secretaries
Accounting Clerks 1-4
Bookkeeping Machine Operators 1-2
Cashiers 1-4
Dispatchers
EDP Data Clerks & Entry Operators
Highway Patrol Dispatchers
Mechanical Stores Clerk 1-2
Office Machine Operator 1-4
Personnel Clerks
Retail Store Clerks 1-2
Switchboard Operators
Kindred Workers

SKILLED — Manual workers of relatively high skill level who must have thorough and comprehensive knowledge of the process involved in their work. Exercise considerable independent judgment and usually receive an extensive period of training or experience. Include:

Aircraft Mechanics
Auto Mechanics 2-4
Bindery Technicians
Bindery Foremen
Blacksmiths
Cabinet Makers
Carpenters
Carpenter Foremen
Compositors
Compositor Foremen
Dairyman 3
Electricians
Electrician Foremen
Leadmen
Locksmiths
Machinists
Machinists Foremen
Sheet Metal Workers
Sheet Metal Worker Foremen
Signal Electricians
Signal Electrician Supervisors
Stationary Engineer 2-3
Stationary Firemen 2
Water Sewage Treatment Operators 3
Welders
Kindred Workers

SEMI-SKILLED — Workers who operate machine or processing equipment or perform other operations of in-

termediate skill level which can be mastered in a few weeks and require limited training. Includes:

Auto Body Repairman 1
Auto Mechanics 1
Bakers 1-2
Bindery Workers
Boiler Repairmen 1-2
Barbers
Cosmetologists
Dairymen 1-2
Draftsmen 1
Dredge Operators
Elevator Maintenance Men
Equipment Operators
Fire Fighters
Food Service Supervisors
Glaziers
Highway Workers 3-4
Laundry Supervisors 1-3
Maintenance Repairmen 1-3
Meatcutters
Painters
Penal Workshop Foremen
Plasterers
Plumbers
Plumber Formen
Pressmen
Pressmen Foremen
Seamstresses 1-3
Stationary Engineer 1
Stationary Firemen 1
Tailors
Water Sewage Treatment Operator 1-2
Kindred Workers

LABORERS — Workers in manual occupations which generally require no training. Typically laborers performing elementary duties that may be in a few days and require the application of little or no independent. Includes:

- Farm Laborers 1-2
- Farm Foremen
- Highway Workers 1-2
- Laborers
- Labor Foremen
- Route Markers
- Kindred Workers

SERVICE WORKERS — Workers in both protective service occupations. Generally, the service occupations are grouped closely to classifications but tend to require less physical effort than laborers.

- Capitol Guides
- Capitol Watchmen
- Custodial Workers
- Custodial Work Supervisors
- Domestic Workers
- Elevator Operators
- Food Service Workers
- Greenhousemen
- Groundskeepers
- Housekeepers
- Institutional Security Officers
- Laundry Workers
- Power Plant Helpers
- Storekeepers 1-3
- Watchmen
- Kindred Workers

OHIO

NUMBER OF STATE PERSONNEL

January, 1972

The first part of this report shows number of personnel, with certain exclusions, in each major agency of state government, for the current month, with comparative figures for earlier months.

The second part of this report shows, for each state agency and institution, the total number of persons paid from state funds, the number in each pay step, the number in each type of appointment, and the number under the state classification plan for the current month.

Data are compiled from payroll records for the last half of the month shown.

Minor variations may occur from month to month because of fluctuation in work load or changes in assignment of personnel.

JOHN J. GILLIGAN
Governor

DEPARTMENT OF STATE PERSONNEL
PAUL A. COREY, *Director*

PERSONNEL STATUS BY AGENCY AS OF 01-72

Dep Inst	Agency	SUMMARY BY STEP										By Status				
		Total	0	1	2	3	4	5	6	7	8	9	10	Cert	Prov	Uncl
005	Judiciary	366	366	41	41											366
010	Supreme Court of Ohio															41
018	Ohio Judicial Conference		2	2												2
020	Ohio State Senate	79	79													79
025	House of Representatives															
030	Legislative Ref Bureau	188	135	6	18	13	14	2								
035	Legislative Service Comm	11	11													11
040	Governors Office	44	44													
045	Lieutenant Governor	4	4													
050	Secretary of State	69	1													
055	Attorney General	330	19	105	92	41	37	12	18	3	3					15
070	Auditor of State	525	8	14	85	134	78	129	31	8	2					55
090	Treasurer of State	108	1	40	41	8	8	3	5	2						183
100	Finance	259	1	49	52	58	54	8	19	10	8					16
110	Taxation	758	18	16	133	138	123	229	47	28	22	3				16
116	Bd of Tax Appeals	20	1	4	4	2	10	1	2							5
120	State Personnel	170	9	7	74	32	19	14	8	1	6					6
124	St Pers-Board of Review	4		3												
130	Public Works	460	6	22	40	55	66	122	48	69	26	5	1			50
135	Urban Affairs	122		45	43	19	10	3	2							16
140	Sundry Claims Board	1														
175	Constitutional Rev. Comm	5	5													
200	Education	447	195	63	54	33	54	8	24	11	4	1				5
221	State School for Deaf	187	33	33	24	26	29	15	11	1	2	1				39
226	State School for Blind	135	28	12	15	19	24	9	15	11	1	1				33
233	Sch-College Bd Registr	2														29
235	Ohio Board of Regents	17	9	3	2	2	1									1

Dep Inst	Agency	SUMMARY BY STEP										By Status					
		Total	0	T	1	2	3	4	5	6	7	8	9	10	Cert	Prov	Uncl
350	State Library Board	148	6	21	25	36	40	7	1						2	3	4
370	Ohio Arts Council	4		2											513	86	7
373	Ohio Student																148
	Loan Comm	9	1	1	5	1	1										4
374	Ohio Education	7		3	1												
400	TV Comm	606	1	1	91	122	102	188	38	45	14	4					
415	Public Welfare																
	Rehabilitation																
	Serv Comm																
420	Ohio S & S Orphans	806		150	207	213	147	36	44	8	1	1	778	23	5		
	Home																
430	Ohio S & S Home	199		20	22	39	60	10	38	9	1	179	19				
440	Health	297	127	23	20	55	35	21	14	2	1	143	27				
460	Southeast Ohio Hospital	766	6	13	167	135	94	182	73	65	25	5	1	631	121	14	
470-431	Ohio Youth Comm.	82		6	7	8	24	8	29					60	21	1	
	Admin																
470-432	Fairfield Sch for Boys	80	10	21	24	9	9	3	3	1	1	3	39	31	10		
470-433	Scioto Village	415		37	69	76	120	38	47	24	1	3	388	26			
470-434	Juvenile Diag Center	244		30	48	39	84	10	22	10	1	1	231	12	1		
470-435	Trng Inst Central Ohio	468		63	128	85	141	16	25	10	1	1	448	18	2		
470-436	Mohican Youth Camp	145		22	37	26	47	5	5	1	1	2	135	9	1		
470-437	Zaleski Youth Camp	78	18	17	17	23	2	5	1	1	1	1	76	2			
470-438	Juvenile Placement Bur	21		2	5	6	1	4	3				19	1	1		
470-439	H. F. Christian	138		24	57	29	22	2	2				128	10			
	Youth Camp	41	1	13	10	17							39	1	1		
470-441	Maumee Youth Camp	71		16	16	14	21	2	1	1	1	1	54	16			
470-442	Riverview Sch for Girls	110		25	28	22	31	1	3				105	4			
470-443	Zanesville Youth Camp	24		4	4	4	7	2	1	2			22	1			
470-444	Youth Comm.																
	Title 1 Sect	51		7	9	11	13	7	1	1	1	1	2	41	10		
470-445	Cuyahoga Hills																
	Boys Sch	132		19	42	37	30	3	7	8	5	1	118	13			
500-390	M/H Business Admin	89		11	23	19	16						75	13	1		

500-392	M/H Admin On Aging	12	4	3	4	1	2	12
500-394	Worley Terrace Village	33	1	1	10	18	1	32
500-395	Glendale Terrace Village	8	1	7	6	7	25	8
500-425	M/H Generp' Adm	66	5	1	2	9	7	41
500-426	Support Bureau	85	11	12	7	29	8	68
500-427	Paramedical Center	37	5	6*	10	14	2	12
505-393	Psychiatric Criminology	16	2	3	5	5	1	14
505-417	Chillicothe Research Ctr	39	8	7	10	12	1	12
505-419	Junction City Center	106	16	25	16	23	9	14
510-403	M/H Central Office Corr	47	11	11	7	7	2	1
520-415	Adult Parole Authority	255	53	60	45	63	9	25
520-404	London Correctional Inst	270	29	26	20	47	38	103
520-405	Lebanon Correctional Inst	292	32	32	54	52	55	62
520-406	Ohio Penitentiary	474	61	72	94	103	51	84
520-407	Ohio State Reformatory	430	47	63	59	80	75	99
520-410	Ohio Womens Reformatory	153	8	22	16	85	8	11
520-413	Marion Correctional Inst	270	13	21	26	45	40	122
520-416	Chillicothe Corr Inst	411	42	81	74	160	33	19
520-418	Southern Ohio Pen	19	9	6	6	2	1	2
610	Cntrl Office Mental Hyg	311	77	7	67	61	43	25
610-472	Cleveland Psych Inst	298	38	46	49	85	32	44
610-481	Rollman Psych Inst	185	24	31	35	40	23	30
630-467	Fairhill Mth Hlth Ctr	253	49	37	60	61	26	18
630-479	Health Ctr	199	44	25	34	54	15	27
630-480	Woodside Receiving Hosp	215	19	34	35	68	24	31
640-468	Portsmouth Rec Hosp	136	16	18	27	40	20	15
	Sagamore Hills Child Psy	146	1	31	36	30	28	11

Dep Inst	Agency	SUMMARY BY STEP										By Status					
		Total	0	T	1	2	3	4	5	6	7	8	9	10	Cert	Prov	Uncl
640-475	Dayton Child Psych Hosp	142	30	43	23	18	11	15	1	1	131	10	10	1			
640-482	Col Children Psych Hosp	34	8	6	8	10	2	95	4	1	25	8	1				
650-452	Athens Mt Hlth Ctr	561	70	67	91	149	84	95	4	1	526	30	5				
650-453	Cambridge State Hospital	857	1	59	82	133	221	186	172	3	829	22	6				
650-454	Cleveland State Hospital	688	3	97	101	109	183	72	114	9	605	64	19				
650-455	Columbus State Hospital	806	11	172	116	105	160	86	147	7	1	1					
650-456	Dayton Mental Health Ctr	724	2	135	123	144	164	65	85	6	635	66	23				
650-457	Hawthornden State Hosp	621	115	98	97	157	70	78	6	2	543	75	3				
650-458	Lima State Hospital	566	104	51	54	125	54	172	4	2	528	32	6				
650-459	Longview State Hospital	902	6	14	117	104	146	232	86	190	6	1	815	75	12		
650-460	Mussillon State Hospital	742	100	118	101	170	141	104	8		696	37	9				
650-462	Tiffin State Hospital	403	61	49	73	108	44	65	3		372	18	13				
650-463	Toledo Mental Health Ctr	827	127	121	124	217	98	135	5		763	55	9				
650-466	Springview Hospital	100	11	15	20	47	3	3	1		87	11	2				
660-461	Mt Vernon State Inst	352	34	43	67	86	41	79	2		338	11	3				
660-490	Apple Creek State Inst	714	119	113	107	88	92	6	1	1	663	48	3				
660-491	Columbus State Inst	964	13	2	145	119	123	168	101	162	31						
660-492	Orient State Institute	931	4	147	120	99	222	165	159	15		880	41	10			
660-493	Broadview Center	212	53	50	43	60	4	1	1	1	170	38	4				
660-498	Mtl Retard-Cntrl Office	72	21	21	13	11	3	2	1	1	48	23	1				
660-499	Gallipolis State Inst	800	77	72	93	161	131	261	4	1	772	22	6				
700 700	Agriculture	512	1	93	81	165	79	22	48	18	5	164	334	14			
710 710	Agricultural Res Center	717	426	19	47	55	79	29	59	3	79	191	447				

723 723	Ohio Expositions Comm	85	3	20	19	22	18	2	1	61	14	10
725 725	Natural Resources	1198	3	1	169	197	241	308	57	168	38	20
740 740	Nat. Resources-Wildlife	352	1	19	27	88	89	56	55	9	7	3
745 745	Adjutant General	250	4	27	36	30	58	22	62	9	2	39
761 761	Highway Safety Adm	34	1	7	7	1	9	1	7	1	20	7
762 762	Bur of Motor Vehicle	532	64	115	92	72	131	28	77	16	1	1
764 764	State Highway Patrol	1973	64	213	214	247	713	366	150	5	1	399
770 770	Highway Department	9651	63	16	1487	870	1331	2339	1197	1585	682	74
795 795	Bureau Employment Serv	4210	18	99	969	934	508	810	405	373	72	14
800 800	Commerce	273	1	24	52	52	95	18	18	13	5	35
810 810	Development	120	1	41	35	18	15	5	2	2	1	19
820 820	Insurance	79	4	5	18	13	30	2	5	2	1	10
830 830	Industrial Relations	209	16	13	20	26	58	19	48	9	1	47
845 845	Industrial Commission	279	4	1	28	38	49	73	48	24	10	11
855 855	Bureau of Workmens Comp	725	4	4	140	120	122	200	52	68	10	2
870 870	Public Utilities Comm	150		46	18	23	20	7	23	10	3	3
874 874	Regulatory Licensing Bd	131		32	14	18	38	11	13	5	54	29
875 875	Ohio State Racing Comm	20	1		6	3	2	3	1	1	1	7
876 876	Ohio Civil Rights Comm	55	1		18	14	7	11	1	1	1	35
896 896	Ohio Water Devp Auth	14	6		4	3	1					14
897 897	Housing Development	2	1		1							2
898 898	Air Quality Devp Auth	5										5
960 960	Liquor Control	1993	9	306	328	318	555	143	282	21	9	20
STATE TOTALS												
		49678	1860	331	7736	7351	7429	11769	5016	6563	1353	187
												22
												285
												22
												3296
												3030

AGENCY	CURRENT MONTH Jan. 1972	LAST MONTH Dec. 1971	TWO YEARS AGO Jan. 1970	
			YEAR AGO Jan. 1971	AGO Jan. 1970
Judicial				
Judiciary	366	365	357	363
Supreme Court	41	41	37	35
Judicial Conference	2	2	2	0
Sub Total	409	408	396	398
Legislative				
Senate	79	83	79	95
House of Representatives	188	186	180	168
Legislative Reference Bureau	11	11	13	8
Legislative Service	44	45	53	41
Sub Total	322	325	325	321
Elective				
Governors Office	41	38	29	8
Lieutenant Governor	4	4	6	4
Secretary of State	69	70	71	66
Attorney General	330	323	245	258
Auditor of State	525	529	480	532
Treasurer of State	108	110	87	112
Sub Total	1,077	1,074	918	980

Departments					
Adjutant General	250	246	250	250	244
Agriculture	512	505	468	468	465
Commerce	273	276	286	286	291
Development	120	112	100	100	114
Education	710	707	725	725	1,222
Employment Services	4,210	4,112	3,812	3,812	3,233
Finance	259	247	247	247	236
Health	848	827	767	767	729
Highway	9,651	9,693	9,447	9,447	10,089
Highway Safety	2,539	2,459	2,488	2,488	2,415
Industrial Relations	209	209	162	162	226
Insurance	79	78	82	82	89
Liquor Control	1,993	2,630	2,100	2,100	2,083
Mental Hygiene & Correction	16,773	16,665	16,744	16,744	16,546
Natural Resources	1,550	1,467	1,650	1,650	1,543
Personnel	170	157	112	112	113
Public Welfare	606	589	588	588	688
Public Works	454	434	480	480	503
Taxation	758	707	648	648	654
Urban Affairs	122	116	119	119	98
Workmen's Compensation	725	717	713	713	708
Sub Total	42,811	42,953	41,988	42,988	42,289

AGENCY	CURRENT MONTH Jan. 1972	LAST MONTH Dec. 1971	YEAR AGO Jan. 1971	TWO YEARS AGO Jan. 1970
Boards				
Agriculture R & D Center	717	743	731	710
Building Standards	0	0	92	12
Library	148	144	146	153
Mine Examining	0	0	3	3
Personnel Review	4	4	4	4
Regents	17	15	15	14
Regulatory and Licensing	131	125	131	129
Soldiers & Sailors Home	170	166	171	168
Soldiers & Sailors Orphans Home	199	200	201	205
School & College Registration	2	2	2	0
Sundry Claims	1	2	0	1
20 Tax Appeals	20	19	21	20
Veterinary	0	0	0	0
Water Develop. Auth.	14	9	6	5
Air Quality	5	5	0	0
Housing Dev.	2	3	0	0
Sub Total	1,430	1,437	1,523	1,424

Commissions						
Arts Council	4	4	4	4	4	4
Civil Rights	55	53	57	57	49	49
Educational Television	7	7	6	6	4	4
Exposition	85	87	85	85	68	68
Industrial	278	279	290	290	156	156
Public Utilities	150	139	130	130	136	136
Racing	20	20	22	22	17	17
Rehabilitation Serv.	806	809	803	803	0	0
Safety & Hygiene	0	0	0	0	119	119
Student Loan	9	9	9	9	7	7
Tax Study	0	0	0	0	0	0
Voc. & Tech. Educ.	0	0	1	1	1	1
Youth	2,018	2,026	2,075	2,075	2,034	2,034
Const. Rev.	5	5	0	0	0	0
Sub Total	3,437	3,438	3,482	3,482	2,595	2,595
GRAND TOTAL	49,486	49,635	48,632	48,632	47,998	47,998
Et. under civil service	46,382	46,561	45,622	45,622	44,953	44,953
Causal workers, student help, inmate labor, etc.*	192	192	176	176	195	195

* Not included in Grand Total, above.

APPENDIX B

DECISION AND ORDER Application of State of Ohio

March 10, 1972

Employee Unit: Ohio State Employees

IRS #: 316-01347

PB #: 1-17-045

The above case is before the Pay Board as a request by the State of Ohio for an exception to the general wage and salary standard. The State of Ohio requests permission to pay wage and salary increase pursuant to legislation finally enacted subsequent to November 13 covering in excess of 50,000 state employees. Following filing of the original application representatives of applicant were in frequent contact with Board staff members and applicant submitted amended applications in which significant factual changes, deletions, additions and modifications were made. A public hearing on the application was held by the Board on February 24, 1972. Applicant requested and was permitted to make further oral and written factual statements at a meeting of the Board on March 2, 1972 when submission of the matter was finally completed. At its next following meeting on March 6, 1972 the Board by resolution acted on the application and directed issuance of the following decision. On the basis of the oral and written submissions of the applicant and the Board's analysis thereof, the Board finds and concludes as follows:

1. That the increase in wages and salaries requested is in excess of the general wage and salary standard (5.5%).

2. That the increase in wages and salaries requested is in excess of maximum permissible annual aggregate wage and salary increase (7%) permitted for exception pursuant to subsection 201.11 (a) (1), (2), and (3) of the regulations.

* * * * *

to pay practice the appropriate current wage year of which would be November 14, 1971 to November 13, 1972.

4. That the aggregate percentage of wage and salary increases in the three years preceding November 14, 1971, has been sufficiently less than the sum of a percentage increase of 7 percent for each of the three years to entitle the applicant to pay an annual aggregate increase of 7 percent pursuant to the limitations of subsections 201.11 (a) (3) (ii), and (iii) and 201.11 (b) of the regulations.

5. That there is insufficient credible evidence submitted to warrant the granting of an exception in excess of 7 percent on the basis of any of the additional criteria for exceptions under subsections 201.11 (d) of the regulations.

6. That because of the particular circumstances of the instant case, which include a biennial state budget, extensive legislative history, and enactment of appropriations and new revenues, it could create a hardship to require the employer to implement the wage and salary increase retroactively in order to avail the employees of the full amount permissible under the Board's regulations.

7. That payment of the wage and salary increase submitted to the Board for approval would, if paid on and after March 17, 1972, result in the same aggregate payments as a 7 percent increase paid for the full wage year.

Now, therefore, it is ordered that the application for an exception is denied to the extent that the requested wage and salary increase is in excess of seven percent (7%) for the current wage year computed pursuant to the policies and regulations of the Pay Board. The applicant is prohibited from making payment of the wage and salary increase until the Chairman determines that the increase to be paid does not exceed the limitations of subsections 201.11 (a) (3) (iii) and (b) of the regulations. The applicant may submit and the Chairman will approve payment of a wage and salary increase up to the amount submitted to the Board which is "placed in effect no earlier than March 17, 1972." On or after November 14, 1972, the applicant will be permitted to institute payment of any remainder of the requested increase or any additional increase upon application to the Board and determination by the Chairman that any such remainder or additional increase is not greater than that authorized by Pay Board Regulations then in effect. The permissible rate for wage and salary increases to take effect during the wage year commencing November 14, 1972 shall be reduced to the extent that any wage and salary increases paid after March 17, 1972 exceed seven percent computed pursuant to the policies and regulations of the Board.

/s/ GEORGE H. BOLDT
Chairman

APPENDIX C

STATE OF OHIO
DEPARTMENT OF STATE PERSONNEL
COLUMBUS, OHIO 43215

November 30, 1972

The Honorable George H. Boldt
Chairman
Pay Board
Office of Economic Stablization
2025 M Street, N.W.
Washington, D.C. 20508

Dear Judge Boldt:

In conjunction with our recently filed application (case numbers 998-2-1, 2886-2-1) for approval of a pay adjustment, we are asking the Pay Board to approve the merging of two previously separated employees units, i.e., a) the classified civil service employees of the university system, and b) the already merged unit of state and county welfare department employees.

We propose the merger of these for the following reasons:

- 1) All of the employees' salaries within this unit are determined by Chapter 143 of the Ohio Revised Code, i.e., all persons in the same classification will make the same wage irrespective of the unit in which they are employed.
- 2) In the legislative process, the pay table is considered to be applicable to all units.
- 3) All systems have improved their personnel information system allowing a rapid exchange of in-

formation for computations of the P.B.-3 which was not possible when these units came before the Pay Board previously. The university employee unit does not include the faculty which is subject to a different pay schedule.

If the two units were considered separately, then the percentage increase for the state and county welfare department employees would be 1.5 while the percentage increase for the university classified service would be 1.4. This difference is caused by different mean salaries in these units.

Should you require any further information, please do not hesitate to call upon us. We hope that the issue can be resolved quickly.

Sincerely,

/s/ PAUL A. COREY

PAC:es:ac

PAY BOARD
Washington, D.C. 20508

Dec 19, 1972

Paul A Corey
Director of State Personnel
State of Ohio
Columbus, Ohio 43215

Re: Pay Board Case Numbers 02386-2-1
00998-2-1

Dear Mr. Corey:

This is in response to your communication of November 10, 1972, in which you request Pay Board approval to pay to a unit of approximately 76,251 State, County Welfare, and University Civil Service employees or former employees a lump-sum payment, which you have calculated at 1.6%, for work performed by these employees between December 26, 1971, and the date on which such employees in fact received pay increases in 1972.

The Pay Board has determined that under its regulations such payment would constitute deferred compensation for work performed in the first control year applicable to such employees, which is November 14, 1971, through November 13, 1972. Such payment would cause the total wage and salary increases for the first control year to exceed the maximum permissible annual aggregate wage and salary increase under the terms of the Pay Board's Decision and Order of March 10, 1972. The Pay Board has therefore determined that the proposed lump-sum payment may not be paid. A wage and salary increase for the second control year, which begins November 14, 1972, may be paid only on the basis of work performed on and after that date. The Pay Board will

consider applications for approval of pay adjustment for employees of the State of Ohio, with respect to the second control year, upon submission.

Since the proposed payment has been disapproved, it is not considered necessary at this time to issue a determination as to the merger of appropriate employee units proposed in your correspondence of November 30, 1972. This request may be renewed in conjunction with an application for approval of a pay adjustment for the second control year.

Sincerely,
/s/ ROBERT W. KOPP
General Counsel

APPENDIX D

State of Ohio, ex rel.

JAMES C. ERVIN,
RAYMOND P. Woods,
661 Salisbury Road, Box 135,
Waverly, Ohio 45690,
ALBERT HERBORT,
470 Pamela Circle,
Mainville, Ohio 45039,
PAUL HUDSON,
76 East Fifth Street,
London, Ohio 43140,
GENEVA WATSON,
1211 Walbridge Avenue,
Toledo, Ohio 43609,
WILLIE FLUCKERS,
10623 Grandview Avenue,
Cleveland, Ohio 44104,
RICHARD M. KLINE,
1669 Grace Street,
Mansfield, Ohio 44905,
FRANCIS STOVER,
Route 1, Box 1,
Bidwell, Ohio 45614,
BENJAMIN R. FORTNEY,
Route #1, Box 279,
Guysville, Ohio 45735,
GARY YARBROUGH,
Route 1, Box 169,
Bremen, Ohio 43107,
BEV ANN SEELY,
1337 Cottage Place, N. W.,
Canton, Ohio 44703,

JACK ROBERTSON,
Route #4,
Cambridge, Ohio 43725,
SANDY MARTIN,
BUD HULLINGER,
626 North Jefferson Street,
Lima, Ohio 45801,
WILBUR JUDY,
488 South Prospect Street,
Marion, Ohio 43302,
GEORGIA SANDERS,
686 Gholson Avenue,
Cincinnati, Ohio 45229,
JACKIE COOPER,
3433 Hallwood Place,
Cincinnati, Ohio 45229,
LLOYD DANNER,
Route #2,
Ashville, Ohio 43103,
ALICE M. ALFORD,
4958 East 85th Street,
Garfield Heights 44125,
FLORENCE MIX,
3673 East 146th Street,
Cleveland, Ohio 44120,
ROY WILLIAMS,
13805 Union Avenue,
Cleveland, Ohio 44120,
RICHARD Fox,
Lot #6, Locust Lanes Trailer Court,
Weston, Ohio 43569,
DOROTHY BABUS,
123609 Crennell Avenue,
Cleveland, Ohio 44105,

RICHARD DAVISSON,
Route #1,
Mt. Perry, Ohio 43760,
JAMES McCUDDY,
1220 West Centerville Road,
Dayton, Ohio 45459,
BENNY AYERS,
4836 Scenic Drive, Route 5,
Ravenna, Ohio 44266,
BILL MOORE,
5820 K-Bell Street,
Oxford, Ohio 45056,
WANDA LEWIS,
P. O. Box 271,
East Turner Drive,
Wilberforce, Ohio 45384,
MOLLY DUNCAN,
11816 Avon Avenue,
Cleveland, Ohio 44105,
OSCAR McGEE,
8 Clinton Street,
The Plains, Ohio 45780,
LARRY ROWLEY,
2638 Erie Street,
Toledo, Ohio 43611,
DAVID POPE,
1630 Holyroad,
Cleveland, Ohio 44106,
and

State Employes Union, Council 21, American Federation of State, County and Municipal Employees, AFL/CIO, who bring this action on behalf of themselves and all others similarly situated,

Plaintiffs-Relators,

v.

JOHN J. GILLIGAN,
Governor of Ohio,
JOSEPH FERGUSON,
Auditor of the State of Ohio,
PAUL A. COREY, Director,
Department of Personnel,
State of Ohio,
HAROLD A. HOVEY, Director,
Department of Finance,
State of Ohio,
L. C. STEPHENS, Director,
Department of Personnel,
The Ohio State University,
WARD WILSON, Director,
Department of Personnel,
Ohio University,
Athens, Ohio,
PAUL W. MOSHER, Director,
Department of Personnel,
Kent State University,
Kent, Ohio,
EDWARD A. JACKSON, Director,
Department of Personnel,
Miami State University,
Oxford, Ohio,
FRED MABRA, Director,
Department of Personnel,
Central State University,
Wilberforce, Ohio,
DONALD BALTHAZAR, Director,
Department of Personnel,
Toledo State University,
Toledo, Ohio,

TED PURVIS, *Director*,
Department of Personnel,
Wright State University,
Dayton, Ohio,
and

IRVIN E. HAZEL, *Director*,
Department of Personnel,
Cleveland State University,
Cleveland, Ohio,
Defendants-Respondents.
(United States of America,
Intervenor-Respondent.)

No. 72AP-47

DECISION

Rendered on May 29, 1973

Mr. LEONARD S. SIGALL, 6500 East Main Street, Reynoldsburg, Ohio 43068, For Plaintiffs-Relators.

Mr. WILLIAM J. BROWN, Attorney General.

Mr. C. RAYMOND MARVIN and

Mr. ROBERT B. MEANY, Assistants, Statehouse Annex, Columbus, Ohio, For Defendants-Respondents.

Mr. STEPHEN R. PICARD, United States Department of Justice, Economic Stabilization Section, Civil Division, Room 3736, Department of Justice, Washington, D. C. 20530, For Intervenor-Respondent.

STRAUSBAUGH, J.

This matter is before us upon relators' complaint for the issuance of a peremptory and a final writ of mandamus filed February 10, 1972, and set down for oral hearing May 16, 1972, at which time the cause was continued for one month until June 16, 1972, for the filing of stipulation of facts and briefs. Thereafter, by reason

of inaction of the parties, this court gave notice that unless dispositive action be taken before March 30, 1973, under our Court Rule 11, Section 14, the cause would be dismissed for want of prosecution. Thereafter, at the request of the parties, a conference was held between the parties and the court wherein it was agreed that the parties would submit briefs; that a reply brief would be submitted five days thereafter. At that time, on April 6, 1973, all evidence being stipulated, this court requested that the parties direct their attention specifically to the following two questions: (1) What provision of the Economic Stabilization Act of 1970 makes the act applicable to salaries paid by a sovereign state to officers and employees of state government established by legislative act? (2) What provisions of executive order 11695 accomplishes that purpose?

Ancillary to those questions, assuming an affirmative answer, we asked that two other questions be briefed: (1) Does the Tenth Amendment preclude the federal government from regulating the salaries of officers and employees of state government? (2) Is there any improper delegation of authority in executive order 11695? Instead of filing a brief as requested, the attorney for the United States of America, on April 19, 1973, filed in this court a copy of the petition for removal of the action in this case to the United States District Court for the Southern District of Ohio, Eastern Division, which petition was filed in that court.

The Economic Stabilization Act of 1970 provides, in paragraph 211, Judicial Review:

"(a) The district courts of the United States shall have exclusive original jurisdiction of all cases or controversies arising under this title, or under regulations or orders issued thereunder, notwithstanding

the amount in controversy; except that nothing in this subsection or in subsection (h) of this section affects the power of any court of competent jurisdiction to consider, hear, and determine any issue by way of defense (other than a defense based on the constitutionality of this title or the validity of action taken by any agency under this title) raised in any proceeding before such court. If in any such proceeding an issue by way of defense is raised based on the constitutionality of this title or the validity of agency action under this title, the case shall be subject to removal by either party to a district court of the United States in accordance with the applicable provisions of Chapter 89 of Title 28, United States Code."

Chapter 89 of Title 28, United States Code, provides:

"§1446. *Procedure for removal.* (a) A defendant or defendants desiring to remove any civil action or criminal prosecution from a State court shall file in the district court of the United States for the district and division within which such action is pending a verified petition containing a short and plain statement of the facts which entitle him or them to removal together with a copy of all process, pleadings and orders served upon him or them in such action.

"(b) The petition for removal of a civil action or proceeding shall be filed within twenty days after the receipt by the defendant, through service or otherwise, of a copy of the initial pleading setting forth the claim for relief upon which such action or proceeding is based, or within twenty days after the service of summons upon the defendant if such initial pleading has then been filed in court and is not required to be served on the defendant, whichever period is shorter.

"If the case stated by the initial pleading is not removable, a petition for removal may be filed with-

in twenty days after receipt by the defendant, through service or otherwise, of a copy of an amended pleading, motion, order or other paper from which it may first be ascertained that the case is one which is or has become removable.

"(c) The petition for removal of a criminal prosecution may be filed at any time before trial."

Under the above procedure for removal, the petition attempted by the attorney for the United States on April 19, 1973, was a nullity for failure to be timely filed, the case previously having been submitted to this court for determination of the merits upon the stipulated facts. A petition for removal cannot be made between the time of trial and decision.

Although not controlling, a review of the legislative history of P. L. 92-210, as contained in Volume 2, United States Code, Congressional and Administrative News, is helpful. Under "Judicial Review" at page 2292 we note the following:

"The judicial review provision has been written with several important principles in mind: (1) speed and consistency of decisions in cases arising under the Act, (2) avoidance of any breaks or stays in the operation of the Stabilization Program, and (3) relief for particular persons aggrieved by the operation of the program.

"Exclusive original jurisdiction over cases and controversies arising under the Act or under regulations or orders issued under the Act shall be in the Federal district courts. These cases may be brought regardless of the amount in controversy. It is made explicit, however, that with respect to any case or controversy brought in a court of competent jurisdiction, e.g., a State court, nothing shall prevent a defendant from raising a defense (other than a defense involving constitutionality of the Act or the

validity of a regulation or order issued under the Act). If the defense is simply one concerning the applicability of a regulation to a party such case would state in the State court, the issue of the applicability could be decided in that court, and appeals would proceed through the procedure established for that court. If either of the two issues mentioned above, constitutionality of the Act or validity of a regulation or order issued under the Act, are raised, no court, other than a Federal district court, has jurisdiction to act upon a case involving these issues. Such case should be subject to a motion to dismiss or similar motion. If it is so dismissed, either party to the action may move to remove the case to Federal district court for further action. This removal would be pursuant to the existing removal statutes in Chapter 89 of title 28 of the United States Code."

Again, at page 2294, *supra*, we find the following:

"Finally, the provisions of this section 211 apply to actions pending in any court, Federal or State, on the date of enactment of this section in which no final order or judgment has been rendered. It is understood by the Committee that most of the cases now in State courts involve the applicability of regulations to particular pay situations. Such cases, under this section, would remain in the State court system."

We find, therefore, under paragraph 211 of the Economic Stabilization Act of 1970, that since no defense based upon the constitutionality of Title II, Cost of Living Stabilization, or the validity of action taken by any agency under this title has been raised, the power of the Ohio courts, the original jurisdiction of the Common Pleas Court as the trial court, and this court on appeal, has not been affected in considering, hearing and de-

termining the issues herein. Furthermore, the procedure relating to time for removal having not been properly followed under Chapter 89 of Title 28, U. S. Code, this court will proceed to decide the case. The law being so explicit, except for the purpose of achieving further delay, it is difficult to understand the purpose for the filing of the petition for removal.

The issue presented is whether state officials can refuse to obey state law.

An examination of the complaint and brief of plaintiffs-relators in mandamus indicates that nowhere do relators challenge the constitutionality of any action taken under the Economic Stabilization Act of 1970. Instead, relators claim that executive order 11695 abolished the pay board, thereby ending mandatory control under Phase II. In addition, relators claim that the act never specifically included state employees, which they claim makes it "now absolutely clear that at the present time there exists no mandatory wage control over the wages of state employees." Relators further claim that Section 3 (d) (ii) of executive order number 11695 refers to a "prospective increase in prices, rents, wages or salaries under the terms of a contract * * *" and that the wages under consideration herein do not rise under the terms of a *contract*. Relators further contend that the pay bill enacted in January 1972 was a liability incurred prior to the effective date of executive order 11695 and, therefore, not a retroactive increase in wages or salaries.

Article I, Section 8, of the Constitution of the United States provides:

"The Congress shall have Power to * * * provide for the * * * general Welfare of the United States;
* * *
* * * *

"To regulate Commerce with foreign Nations, and among the several states, * * *
* * *

"To make all Laws which shall be necessary and proper for carrying into Execution the foregoing Powers, and all the Powers vested by this Constitution in the Government of the United States, or in any Department or Officer thereof."

Amendment X provides:

"The powers not delegated to the United States by the Constitution, nor prohibited by it to the States, are reserved to the States respectively, or to the people."

It might first be questioned what power has been granted to the United States Congress or the executive branch of the United States Government to regulate the wages and salaries which a state can pay its employees. However, we need not be faced with that problem here because we find no provision whereby Congress or the executive order thereunder specifically or expressly referred to employees of state or local governments.

If Congress had intended to make the Act apply to state employees, the Act would have so stated.

Again referring to the legislative history as contained in Volume 2, U. S. Code, Congressional and Administrative News, *supra*:

"Another consideration was whether the Committee should exempt from the Act all firms below a certain size and wage contracts applying to employee groups below a certain size as well as all firms and future wage contracts of those whose prices or wage increases in the past year have been below the guidelines which have thus far been established by the Pay Board and the Price Commission. Specific exemptions which were considered included:

“(D) Pay adjustments which apply to or affect employees of State or local governments;

“The Committee agreed that there may be many firms and employees in these categories which have not contributed to inflationary prices and wages. However, it was agreed also that such exemptions could lead to abuses which could not be controlled without Congressional action repealing the exemptions. Moreover, the Committee included in its bill the requirement that standards to serve as a guide for determining levels of wages, salaries, prices, rents, interest rates, corporate dividends, and similar transfers shall be generally fair and equitable and call for generally comparable sacrifices. The granting of broad exemptions from the legislation could make it impossible for the administering agencies to meet these criteria. The Committee, therefore, did not exempt these specific segments of the economy from the Act.”

Although the aforesaid Congressional Committee considered specifically exempting pay adjustments which apply to or affect employees of state governments, for certain reasons it did not do so. On the other hand, there is no indication that the Committee even *considered* specifically *including* this category of employees.

On April 18, 1973, the United States Supreme Court held, in Case 71-1021, *Employees of The Department of Public Health and Welfare, State of Missouri et al., v. Department of Public Health and Welfare, State of Missouri, et al.*, reported in 41 Law Week 4493, that the extension of the Fair Labor Standards Act to include state employees working in schools and hospitals does not authorize a Missouri employees' Federal District Court suit for overtime pay due them under Section

2116(b), since the Act does not deprive Missouri of its Eleventh Amendment immunity. Justice Douglas, speaking for the court, said at page 4495:

“Where employees in state institutions, not conducted for profit, have such a relation to interstate commerce that national policy, of which Congress is the keeper, indicates that their status should be raised, Congress can act. And when Congress does act, it may place new or even enormous fiscal burdens on the States. *Congress acting responsibly would not be presumed to take such action silently.* * * *”

(Emphasis added.)

Here, in the absence of express direction that the Act applies to state employees, there is the presumption that Congress and the executive branch intended that the Act *not* apply. Else, as Justice Douglas said, “*Congress * * * would not be presumed to take such action silently.*”

The action taken by the 109th General Assembly of the State of Ohio in Amended Substitute Senate Bill 147 was a legislative act by a sovereign state; in the absence of express direction by Congress or the executive branch acting under Congressional authority, such state legislative action cannot be controlled. For the foregoing reasons, a final writ of mandamus shall be issued directing defendants-respondents to effectuate Amended Substitute Senate Bill 147.

Writ of mandamus granted.

TROOP, P. J., and WHITESIDE, J., concur.

APPENDIX E

JANUARY TERM, 1973 [34 Ohio St. 2d
Counsel for Parties.

[THE STATE, EX REL.] FRY, *v.* FERGUSON, AUDITOR OF
STATE, ET AL.

THE STATE, EX REL. BOEHM, *v.* LEGATT, TREASURER,
OHIO STATE UNIVERSITY, ET AL.

THE STATE, EX REL. KAISER, *v.* FERGUSON, AUDITOR
OF STATE, ET AL.

[Cite as State, ex rel. Fry, *v.* Ferguson
(1973), 34 Ohio St. 2d 252.]

*State employees—Compensation—Statutory rate—R. C.
143.10(A)—Duty of state officials to pay—Federal
Economic Stabilization Act of 1970—Applicability—
Federal administrative board regulations ineffective,
when—Mandamus—Availability.*

Mandamus will lie to compel the responsible officials to
pay classified state employees at the rates of pay
provided by statute. (R. C. 143.10(A).)

(Nos. 72-102, 72-194 and 72-233—Decided June 20, 1973.)

IN MANDAMUS.

Relators in these three cases are classified employees
of the state of Ohio. They seek the issuance of writs of
mandamus to compel the respondents to pay them, retro-
active to January 1, 1972, the full amount of salary pro-
vided for their respective pay ranges and steps under R.
C. 143.10(A). At the time of filing these actions, relators
were being paid at an hourly rate less than that provided
in R. C. 143.10(A).

The officials, statutorily responsible for paying the
salaries of classified state employees, are respondents.

Messrs. Lucas, Prendergast, Albright, Gibson, Brown & Newman, Mr. John A. Brown, and Mr. Jerry L. Riseling, for relators.

Mr. William J. Brown, attorney general, Mr. Robert B. Meany, Messrs. Vorys, Sater, Seymour & Pease, Mr. Duke W. Thomas and Mr. Jacob E. Davis, II, for respondents.

O'NEILL, C. J. Relators contend, and respondents admit, that the laws of Ohio impose a clear legal duty upon the respondents to pay relators the full salary which they demand in this action.

Respondents, however, argue that they are unable to comply with the Ohio law because "the law of Ohio has been superseded by federal law * * *." The federal law to which respondents refer is the Economic Stabilization Act of 1970, as amended. Pub. L. No. 91-379, 84 Stat. 799; Pub. L. No. 91-588, 84 Stat. 1468; Pub. L. No. 92-8, 85 Stat. 13; Pub. L. No. 92-15, 85 Stat. 38; Pub. L. No. 92-8, 85 Stat. 13; Pub. L. No. 92-15, 85 Stat. 38; Pub. L. No. 92-210, 85 Stat. 743.

Section 202(a) of that Act authorizes the President " * * * to issue such orders and regulations as he may deem appropriate to stabilize prices, rents, wages, and salaries at levels not less than those prevailing on May 25, 1970 * * *."

On October 16, 1971, the President established a Pay Board " * * * composed of fifteen members" which " * * * shall perform such functions with respect to the stabilization of wages and salaries as the [Cost of Living] Council delegates to the Board." Exec. Order No. 11,627, 3 C. F. R. 587 (Supp. 1972). Following that executive order, the Pay Board adopted regulations concerned, *inter alia*, with "pay stabilization."

Section 201.10 of those regulations, in pertinent part, provided:

"Effective on and after November 14, 1971, the general wage and salary standard (hereinafter referred to as the 'standard') is established as 5.5 percent. The standard shall apply to any wage and salary increase payable with respect to an appropriate employee unit pursuant to an employment contract entered into or modified on or after November 14, 1971, or to a pay practice established, modified or administered with discretion on or after November 14, 1971. Except as otherwise provided in the Regulations under this title or by decision of the Pay Board, the standard shall be used to compute the maximum permissible wage and salary increase." 6 C. F. R. 46 (Supp. 1972).

Also, Section 101.28 of the regulations of the Cost of Living Council provided:

"* * * Approval, however, must be granted by the Pay Board for any pay adjustment in excess of 5.5 percent which affects the employees of state and local governments." 6 C. F. R. 7 (Supp. 1972).

On January 20, 1972, the Governor of Ohio signed into law S. B. No. 147, amending R. C. 143.10(A), which provided pay increases for classified state employees retroactive to January 1, 1972. At that time the above cited regulations of the Pay Board and Cost of Living Council were in effect.

The pay increase provided through the amendment to R. C. 143.10(A) exceeded the 5.5 percent standard established by the Cost of Living Council and the Pay Board. For that reason, respondents sought Pay Board approval to pay the salaries established in R. C. 143.10 (A). The Pay Board, by its Chairman, George H. Boldt, ordered, on March 10, 1972, that:

"* * * The applicant is prohibited from making payment of the wage and salary increase until the Chairman

determines that the increase to be paid does not exceed the limitations of subsections 201.11(a) (3) (iii) and (b) of the regulations.¹ The applicant may submit and the Chairman will approve payment of a wage and salary increase up to the amount submitted to the Board which is 'placed in effect no earlier than March 17, 1972.' * * * The permissible rate for wage and salary increases to take effect during the wage year commencing November 14, 1972 shall be reduced to the extent that any wage and salary increases paid after March 17, 1972 exceed seven percent computed pursuant to the policies and regulations of the Board."

Ostensibly as a result of Chairman Boldt's directive, respondents failed to pay realtors their full statutory salaries prior to March 17, 1972.

These cases present difficult and sensitive questions respecting the jurisdictions of the state and federal governments to regulate salaries of state employees. Those questions are rendered all the more difficult where, as here, the law of one jurisdiction is clear and unequivocal, while the law of the other jurisdiction is not.

The law of Ohio plainly imposes upon respondents the duty to pay relators at the rates provided in R. C. 143.10 (A). See R. C. 115.35, 113.07 and 143.39. The Economic Stabilization Act of 1970, to the contrary, does not clearly authorize the regulation of state employees' salaries. Nowhere in that Act, or its various amendments, are state employees specifically included for the salary regulation, nor is this court aware of a decision of any other court so applying that Act to state employees.²

Therefore, the question presented here is whether a federal administrative body may lawfully assert jurisdiction

¹ The referred to subsections provided for a maximum permissible annual aggregate wage and salary increase not to exceed 7 percent.

² Federal employees' salaries are, for the most part, specifically exempted from regulation under the rules of the Cost of Living Council. 6 C. F. R. Sections 101.31 and 101.35 (Supp. 1972).

tion over the salaries of state employees without clear authorization from the Congress. Stated another way, the question is whether a federal administrative body may use its general regulatory and rule-making powers to prevent enforcement of state statutes establishing the salaries of state employees where the Congress has failed to specifically authorize such prevention.

This court's view of the Constitution of the United States and decisions of the United States Supreme Court interpreting that document, both of which this court is bound to follow, indicate that a federal administrative body lacks jurisdiction to prevent enforcement of otherwise valid state statutes establishing the compensation for classified state employees in the absence of clear authorization from the Congress acting under a specific power granted by the Constitution.

As the Supreme Court noted in *Parker v. Brown* (1943), 317 U. S. 341, 351:

"* * * In a dual system of government in which, under the Constitution, the states are sovereign, save only thorty, an unexpressed purpose to nullify a state's control ove its officers and agents is not lightly to be attributed to Congress."

See, also, *California v. Zook* (1949), 336 U.S. 725, 733, where the Supreme Court stated that " * * * normally congressional purpose to displace local laws must be clearly manifested"; and *Penn Dairies v. Milk Control Comm.* (1943), 318 U. S. 261, 275, where the Supreme Court stated that " * * * An expressed purpose of Congress to set aside statutes of the states regulating their internal affairs is not lightly to be inferred and ought not to be implied where the legislative command, read in light of its history, remains ambiguous."

For the foregoing reasons, this court holds that respondents erred in following the directives of the Pay

Board. This was in contravention of a clear legal duty under state law to pay relators at the rates provided in R. C. 14310(A).

Respondents argue that these actions should be dismissed for failure by relators to join the Pay Board and Cost of Living Council as parties. Respondents assert that, otherwise, they will be " * * * subject to a substantial risk of either being enjoined, fined, or both should they be ordered by this court to make payment of the wage increases."

Under the circumstances of these cases, it is this court's view that the federal law regulating the pay of state employees does not supersede the state statute. Therefore, it is unnecessary to join any federal agencies as parties.

The writs of mandamus are allowed.

Writs allowed.

HERBERT, CORRIGAN, STERN, CELEBREZZE, W. BROWN and P. BROWN, JJ., concur.